Chapter 10 Transmission of Digital Information via Carrier Modulation (I)

Quadrature Amplitude-Modulated Digital Signals (1/8)

• The transmitted signal waveforms of quadrature amplitude modulation (QAM) signals have the form

 $u(t) = A_{mc}g_T(t)\cos(2\pi f_c t) + A_{ms}g_T(t)\sin(2\pi f_c t), \quad m = 1,2,...,M,$ where $\{A_{mc}\}$ and $\{A_{ms}\}$ are the sets of amplitude levels that are obtained by mapping *k*-bit sequences into signal amplitudes. (A_{mc}, A_{ms}) is the coordinate of a constellation point

• Note the in-phase component $A_{mc}g_T(t)\cos(2\pi f_c t)$ and the quadrature component $A_{ms}g_T(t)\sin(2\pi f_c t)$ of u(t) are mutually orthogonal in the inner-product space defined by

$$\left\langle f(t), h(t) \right\rangle^{\Delta} = \int_{0}^{T} f(t)h(t)dt$$

Quadrature Amplitude-Modulated Digital Signals (2/8)

- In general, rectangular signal constellations result when two quadrature carriers are each modulated by PAM
- Fig. 10.18 illustrate a 16-QAM signal constellation that is obtained by amplitude modulating each quadrature carrier by *M*=4 PAM



Figure 10.18 M = 16-QAM signal constellation.

Quadrature Amplitude-Modulated Digital Signals (3/8)

• Fig. 10.19 illustrates the modulator for QAM implementation



Figure 10.19 Functional block diagram of a modulator for QAM.

Quadrature Amplitude-Modulated Digital Signals (4/8)

• QAM may be viewed as a form of combined digitalamplitude and digital-phase modulation. Thus, the transmitted QAM signal waveforms may be expressed as

 $u(t) = A_m g_T(t) \cos(2\pi f_c t + \theta_n), \quad m = 1, 2, ..., M_1, n = 1, 2, ..., M_2$

• Let R_b denote the transmission bit rate. If $M_1=2^{k_1}$ and $M_2=2^{k_2}$, the combined amplitude- and phase-modulation method results in the simultaneous transmission of $k_1+k_2=\log_2 M_1M_2$ bits occurring at a symbol rate $R_b/(k_1+k_2)$

Quadrature Amplitude-Modulated Digital Signals (5/8)

 It is clear that the geometric signal representation of u(t) is in the form of two-dimensional signal vectors

$$\mathbf{s}_{m} = (\sqrt{\boldsymbol{\mathcal{Z}}}_{s} A_{mc}, \sqrt{\boldsymbol{\mathcal{Z}}}_{s} A_{ms}), m = 1, 2, \dots, M$$

and the orthogonal basis functions as

$$\psi_1(t) = \sqrt{\frac{1}{\varepsilon_s}} g_T(t) \cos(2\pi f_c t)$$
$$\psi_2(t) = \sqrt{\frac{1}{\varepsilon_s}} g_T(t) \sin(2\pi f_c t)$$

• \mathcal{E}_{s} is not necessarily equal to the average symbol energy \mathcal{E}_{av} ; however, it does when the average length of signal vectors $(A_{mc}, A_{ms}), m=1, 2, ..., M$, is normalized

Quadrature Amplitude-Modulated Digital Signals (6/8)

• It should be noted that *M*=4 rectangular QAM and *M*=4 PSK have identical signal constellations. Examples of signal-space constellations for QAM are shown in Fig. 10.20(a)



Figure 10.20 (a) Rectangular signal-space constellations for QAM. (b, c) Examples of combined PAM–PSK signal-space constellations.

Quadrature Amplitude-Modulated Digital Signals (7/8)

• Examples of combined PAM-PSK signal-space constellations are shown in Fig. 10.20(b) and Fig. 10.20(c)



Figure 10.20 (a) Rectangular signal-space constellations for QAM. (b, c) Examples of combined PAM–PSK signal-space constellations.

Quadrature Amplitude-Modulated Digital Signals (8/8)

- The average symbol energy for those signal constellations is simply the sum of the average energies in the quadrature carriers
- The average energy per symbol is given as $\boldsymbol{\mathcal{E}}_{av} = \frac{1}{M} \sum_{m=1}^{M} \|\boldsymbol{s}_{m}\|^{2}.$ The notation $\|\cdot\|^{2}$ denotes squared Eulidean distance
- The distance between any pair of signal points is

$$d_{mn} = \left\| \boldsymbol{s}_{m} - \boldsymbol{s}_{n} \right\|$$

Demodulation and Detection of Quadrature Amplitude-Modulated Signals (1/3)

• The received signal *r*(*t*) corrupted by additive Gaussian noise can be expressed as

 $r(t) = A_{mc}g_T(t)\cos(2\pi f_c t + \phi) + A_{ms}g_T(t)\sin(2\pi f_c t + \phi) + n(t)$

• Suppose that an estimate $\hat{\phi}$ of the carrier phase is available at the demodulator. Then, the received signal may be correlated with the two basis functions

$$\psi_1(t) = \sqrt{\frac{1}{\mathcal{E}_s}} g_T(t) \cos(2\pi f_c t + \hat{\phi})$$

and

$$\psi_2(t) = \sqrt{\frac{1}{\mathcal{E}_s}} g_T(t) \sin(2\pi f_c t + \hat{\phi})$$

Demodulation and Detection of Quadrature Amplitude-Modulated Signals (2/3)





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Demodulation and Detection of Quadrature Amplitude-Modulated Signals (3/3)

Define y=(y₁,y₂). The optimum detector computes the distance metrics

$$D(y,s_m) = \|y-s_m\|^2, m = 1,2,...,M,$$

and selects the signal corresponding to the smallest value of $D(y, s_m)$. If a correlation metric is used in place of a distance metric, it is important to recognize that correlation metrics must employ bias correction (*i.e.*, $\|s_m\|^2$) because the QAM signals are not equal energy

Probability of Error for QAM (1/8)

• We consider two QAM signal sets. The first is a four-phase modulated signal and the second is a QAM signal with two amplitude levels. For the four-phase signal with $(A_{mc}, A_{ms}) = (\pm 1, \pm 1)$, we have

 $\mathcal{E}_{av} = \frac{1}{4} \cdot 4 \cdot 2 \mathcal{E}_{s} = 2 \mathcal{E}_{s}$

• We impose the condition that $d_{min} = 2\sqrt{\boldsymbol{\mathcal{E}}_s}$



Figure 10.23 Two four-point signal constellations.

Probability of Error for QAM (2/8)

• For the two-amplitude, four-phase QAM, the average energy is

$$\mathcal{E}_{av} = \frac{1}{4} [2 \cdot 3 \mathcal{E}_{s} + 2 \cdot \mathcal{E}_{s}] = 2 \mathcal{E}_{s},$$

which is the same average energy as the M=4-phase signal constellation. Here $\sqrt{\mathcal{E}}_s$ acts as a scaling factor for transforming constellation coordinate into average symbol energy

• Next, consider M=8 QAM. In this case, there are many possible signal constellations. The four signal constellations shown in Fig. 10.24 consist of two amplitudes and have a minimum distance between signal points of $2\sqrt{\mathcal{E}_s}$

Probability of Error for QAM (3/8)











Probability of Error for QAM (4/8)

- Assuming that the constellation points are equally probable, the average transmitted signal energy is $\boldsymbol{\mathcal{E}}_{cv} = \boldsymbol{\mathcal{E}}_{c} \frac{1}{M} \sum_{m=1}^{M} (A_{mc}^{2} + A_{ms}^{2}),$
- The two signal sets (a) and (c) contain signal points that have $\mathcal{E}_{av}=6\mathcal{E}_{s}$. The signal set (b) requires an average transmitted signal $\mathcal{E}_{av}=6.82\mathcal{E}_{s}$, and the signal set (d) requires $\mathcal{E}_{av}=4.73\mathcal{E}_{s}$
- The signal constellation (d) is the best eight-point QAM constellation among the four cases because it requires the least energy for a given minimum distance between signal points

Probability of Error for QAM (5/8)

For M≥16, there are many more possibilities for selecting the QAM signal points in the two-dimensional space. An example 16-point QAM signal constellation is shown in Fig. 10.25. However, this is not the best 16-QAM for the AWGN channel



Figure 10.25 Circular 16-point QAM signal constellation.

Probability of Error for QAM (6/8)

- Rectangular QAM signal constellations have the distinct advantage of being easily generated as two PAM signals impressed on phase-quadrature carriers. They are easily demodulated as previously described
- Although they are not the best *M*-ary QAM signal constellations for *M* ≥ 16, the average transmitted energy required to achieve a given minimum distance is only slightly greater than the average energy required for the best *M*-ary QAM signal constellation. For these reasons, rectangular *M*-ary QAM signals are most frequently used in practice

Probability of Error for QAM (7/8)

• If we employ the optimum detector that bases its decisions on the optimum distance metrics, it can be shown that the symbol error probability is tightly upper-bounded as

$$P_M \le 4Q\left(\sqrt{\frac{3k\,\boldsymbol{\mathcal{E}}_{bav}}{(M-1)N_0}}\right) \tag{10.3.20}$$

for $k \ge 1$, where \mathcal{E}_{bav} / N_0 is the average SNR/bit. Note we have $\mathcal{E}_{bav} = \mathcal{E}_{av} / k$

• The probability of a symbol error is plotted in Fig. 10.26 as a function of the average SNR/bit

Probability of Error for QAM (8/8)



Figure 10.26 Probability of a symbol error for QAM.

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